



CERTIFICATE

on Product Conformity (QAL1)

Number of Certificate: 0000037052

Certified AMS:

GM32 In-Situ-Gasanalysator model GMP for NO and SO₂

Manufacturer:

SICK MAIHAK GmbH Nimburger Str. 11 76276 Reute Germany

Test Institute:

TÜV Rheinland Energie und Umwelt GmbH

This is to certify that the AMS has been tested and found to comply with:

EN 15267-1: 2009, EN 15267-2: 2009, EN 15267-3: 2007 and EN 14181: 2004

Certification is awarded in respect of the conditions stated in this certificate (see also the following pages).



- EN 15267-3 tested
- QAL1 certified
- TUV approved
- Annual inspection

Publication in the German Federal Gazette

(BAnz.) of 20 July 2012

Umweltbundesamt Dessau, 20 August 2012 The certificate is valid until:

19 July 2017

TÜV Rheinland Energie und Umwelt GmbH Köln, 17 August 2012

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Test report:

936/21209185/B of 06 March 2009

First certification:

20 July 2012

Validity ends:

19 July 2017

Publication:

BAnz AT 20 July 2012 B11, chapter IV, Notification 22

Approved application

The tested AMS is suitable for use at combustion plants according to EC directive 2001-80-EC, at waste incineration plants according to EC directive 2000-76-EC and other plants requiring official approval. The tested ranges have been chosen with respect to the wide application range of the AMS.

The suitability of the AMS for this application was assessed on the basis of a laboratory test and a seven months field test at fluidised bed combustion main material mineral coal.

The AMS is approved for an ambient temperature range of -20 °C to +50 °C.

Any potential user should ensure, in consultation with the manufacturer, that this AMS is suitable for the installation at which it will be installed.

Basis of the certification

This certification is based on:

- test report 936/21209185/B of 06 March 2009 of TÜV Rheinland Immissionsschutz und Energiesysteme GmbH
- · suitability announced by the German Environmental Agency (UBA) as the relevant body
- the ongoing surveillance of the product and the manufacturing process
- publication in the German Federal Gazette: BAnz 25 August 2009, No. 125, p. 2929, chapter I, No. 3.3
- publication in the German Federal Gazette: BAnz 12 February 2010, No. 24, p. 552, chapter IV, notification 8
- publication in the German Federal Gazette: BAnz 26 January 2011, No. 14, p. 294, chapter IV, notification 1 und 30
- publication in the German Federal Gazette: BAnz AT 20 July 2012 B11, chapter IV, Notification 16





AMS name:

GM32 In-Situ-Gas analysator model GMP for NO and SO₂

Manufacturer:

SICK MAIHAK GmbH, Reute

Approval:

For measurements at plants within the scope of the 2000-76-EC waste incineration directive and the 2001-80-EC large combustion plants directive

Measuring ranges during the suitability test:

Component	CR1	CR2	Unit
NO	0 - 70*	0 - 700*	mg/m³
SO ₂	0 - 75*	0 – 1000*	mg/m³

CR = certification range

or.

Component	CR1	CR2	Unit
NO	0 – 87.5*	0 - 875*	mg/m³
SO ₂	0 - 93.8*	0 – 1250*	mg/m³

CR = certification range

Software versions:

GM32: 9125967 SL36 SOPAS ET: 02.20

^{*} With an active measuring path length of 1.25m

^{*} With an active measuring path length of 1.00 m





Remarks:

- 1. A three-month period has been specified as maintenance interval.
- 2. The device variant "Comfort" has been tested. In terms of hardware and software, the device variant "Pro" is completely identical to the configuration tested. However, it contains only 1 instead of 2 separately calibrated measuring ranges per component. In accordance with the type coding, variant "Comfort" is identified by "C", and variant "Pro" by "P".
- 3. Within the context of the manufacturer's quality assurance according to QAL 3 of Directive DIN EN 14181, the signals of the internal check cycle can be used for regular checks of the zero and span points. If any values exceed specified limits, the measuring system is then checked similarly to the annual surveillance test (AST) by means of a filter box and a zero point measurement in an environment free of test gas (ambient air).
- 4. Performance testing includes the following device variants (measured components):

Device designation according to type code	NO	SO ₂
C1 or P1		Х
C2 Or P2	Х	Х
C4 or P4	Х	

[&]quot;C" = device variant "Comfort"

Test report:

TÜV Rheinland Immissionsschutz und Energiesysteme GmbH, Cologne Report No.: 936/21209185/B of 06 March 2009

8 Notification as regards Federal Environmental Agency notices of 03 August 2009 (Federal Gazette (BAnz.), p. 2931)

The current software version implemented in the emission measuring system GM 32 insitu gas analyser by the company SICK MAIHAK GmbH, in its cross-duct and measuring probe versions is:

GM32: 9125967 T473

Statement of TÜV Rheinland Immissionsschutz und Energiesysteme GmbH of 9 October 2009

[&]quot;P" = device variant "Pro"





Notification as regards Federal Environmental Agency notices of 3 August 2009 (Federal Journal (BAnz. p. 2929, chapter I, no. 3.2 and 3.3) and of 25 January 2010 (BAnz. p. 552, chapter IV, notification 8)

The current software version of the GM 32 In-situ Analysator in its Cross Duct and probe GMP versions manufactured by SICK Maihak GmbH is:

GM32:

9125967 U727

Effective immediately, the GMP probe version's cladding tube of the probe is extended to 45mm. The reflector in its clamp is repositioned by the same distance in relation to the measurement slit.

Statement of TÜV Rheinland Energie und Umwelt GmbH of 04 October 2010

Excerpt from:

30 Notification as regards Federal Environmental Agency notices referring to suitability-tested AMS manufactured by SICK Engineering GmbH and SICK MAIHAK GmbH (Excerpt)

No.	AMS manufacturer	Notice	Notification	Opinion of the test institute
8	GM 32 In-Situ	as regards	The current	TÜV Rheinland
	Analysator in its	notification 1 of	software version of	Energie und
	Cross Duct version	this notice	the SOPAS ET	Umwelt GmbH of
	and in Its GMP		platform, which	08 November
14	probe version/		controls the AMS	2010
	SICK MAIHAK		is,	
	GmbH		SOPAS ET 2.32	

Notification as regards Federal Environmental Agency notices of 3 August 2009 (Federal Journal (BAnz.) p. 2929, chapter I, no. 3.2 and 3.3) and of 10 January 2011 (Federal Journal (BAnz.) p. 294, chapter IV, 1st and 30th notification)

The tracking mirror which has so far been used for the GM 32 In-Situ Gas analyser, in its Cross Duct and GMP probe version for NO and SO_2 manufactured by SICK MAIHAK GmbH may be replaced by an alternative tracking module.

The current software version is: GM32: 9125967 V113

Statement of TÜV Rheinland Energie und Umwelt GmbH of 24 March 2011





Notification as regards Federal Environmental Agency notices of 3. August 2009 (BAnz. S. 2929, Chapter I, no. 3.2 und 3.3) and of 15 July 2011 (Federal Journal (BAnz.) p. 2725, chapter III, 16th notification)

The GM 32 In-Situ Gas analyser in its GMP probe and Cross Duct versions for NO and SO₂ manufactured by SICK MAIHAK GmbH as well as the production process and the quality management system for this measuring system meet the requirements of EN 15267.

Statement of TÜV Rheinland Energie und Umwelt GmbH of 20 March 2012

Certified product

This certificate applies to automated measurement systems confirming to the following description:

The GM32 in-situ gas analyser continuously measures NO and SO₂ concentrations in the gas duct.

The GM32 in-situ gas analyser in its GMP probe version is based on an in-situ technology with electrooptical direct measurement. Measured values are recorded directly in the gas flow without contact using the open measuring path of the GMP probe which extends into the duct.

The GM32 SR-unit determines the concentration of the respective gases based on light absorption by the gas mixture in the active measuring path which is specific to the wavelength.

Light from the sender/receiver unit (SR-unit) passing through the measuring path in the gas duct is reflected back by a triple reflector situated at the end of the probe. The beam splitter diverts the returning light to the polychromatic subassembly comprising a condenser lens with slit diaphragm, optical grid and receiver element. The optical grid dissects the returning light spectrally and maps it to the receiver element consisting of a highly sensitive diode array.

The algorithms of the GM32 evaluation software process the measured signals of the receiver element together with the related parameters according to the DOAS-principle (<u>D</u>ifferential <u>O</u>ptical <u>A</u>bsorption <u>S</u>pectroscopy).

In order to ensure stable measurements, the measuring system carries out a reference cycle at certain intervals (standard setting: once every 60 min). This reference cycle compensates for changes in lamp intensity (e.g. through aging) and possible contamination in the SR-unit. Precisely adjusting the intensity of the spectra and recording the dark and zero point spectra (with the blanking diaphragm retracted) allows to generate an intensity spectrum which corresponds to that of a spectrum determined in a smoke-free path and hence facilitates the creation of a reference spectrum as a basis for compensation.

Furthermore, the measuring system monitors the stability of the zero and span points by means of a check cycle. Two grid filters, an NO cell and a zero point reflector which can be swivelled in are used as a measuring means for the checks.

This check cycle allows to determine possible wavelength scale drifts, resolution drifts and extinction drifts, and therefore to monitor measurement stability.

Zero point:

The zero point is determined by creating a zero spectrum by swivelling in a zero point reflector. This spectrum corresponds to a measurement with a measuring path free from gas. The relevant measured concentration values are determined by means of the device's calibration function. A maintenance request is signalled when one of the zero values exceeds a certain limit value (in this case: 2 % of the FS).





Span point:

In addition to the zero point reflector, an internal swivel element with 2 grid filters and an NO-filled cell is swivelled in during the check cycle, and the reference value is measured. The control values are scaled to 70% of the measuring range selected.

The median deviation of the extinction measurement of the 2 grid filters is used to calculate the reference value, and the value of $(70 \% + \text{deviation}) \times FS$ is output in per cent for all components. A maintenance request is signalled when the limit value is exceeded (in this case: > 2 % of the FS).

The expression "concentration measuring path product" is used to describe the connection between full scale value and active measuring path length.

The concentration measuring path product is used to calculate the relevant full-scale value for any active measuring path length. The measuring range indications refer to an active measuring path of 1m. In accordance with the measuring path length x, all measuring ranges are reduced or increased by the factor 1/x [m].

The table below gives some examples of such factors.

Active measuring path length or measuring gap in mm	suring gap Factor for full-scale value FS	
1000	FS * 1	
1250	FS * 0,8	
1860	FS * 0,538	
3000	FS * 0,333	

Instrument variants

Version "Pro"

As "Basis" version, plus

- Check cycle (QAL3) + CUSUM-card
- Control unit

Version "Comfort"

As "Pro" version, plus

2 separately calibrated measured ranges per component

Two instruments of the "Comfort" version were used for the testing at hand. In terms of hardware and software components, the version "Pro" is identical to the "comfort" version – however, there is only 1 instead of 2 calibrated measured ranges for each component.

According to the type coding, the "Comfort" version is called "C" and the "Pro" version is called "P".

Device designation according to type code	NO	SO ₂
C1 or P1		х
C2 or P2	Х	х
C4 or P4	Х	

[&]quot;C"= variant "Comfort"

[&]quot;P"= variant "Pro"





General notes

This certificate is based upon the equipment tested. The manufacturer is responsible for ensuring that ongoing production complies with the requirements of the EN 15267. The manufacturer is required to maintain an approved quality management system controlling the manufacture of the certified product. Both the product and the quality management systems shall be subject to regular surveillance.

If a product of the current production does not conform to the certified product, TÜV Rheinland Energie und Umwelt GmbH must be notified at the address given on page 1.

A certification mark with an ID-Number that is specific to the certified product is presented on page 1 of this certificate. This can be applied to the product or used in publicity material for the certified product.

This document as well as the certification mark remains property of TÜV Rheinland Energie und Umwelt GmbH. With revocation of the publication the certificate looses its validity. After the expiration of the validity of the certificate and on requests of the TÜV Rheinland Energie und Umwelt GmbH this document shall be returned and the certificate mark must not be employed anymore.

The relevant version of this certificate and the validity is also accessible on the internet Address: qal1.de.

Certification of GM32 In-Situ-Gas analysator model GMP for NO and SO₂ is based on the documents listed below and the regular, continuous monitoring of the Quality Management System of the manufacturer:

Initial assessment

First report: 936/21209185/B of 06 March 2009

TÜV Rheinland Immissionsschutz und Energiesysteme GmbH, Köln

Publication: Federal Journal (BAnz.) 25 August 2009, No. 125, p. 2929, chapter I, No. 3.3

Federal Environmental Agency notice of 03 August 2009

Notifications

Publication: Federal Journal (BAnz.) 12 February 2010, No. 24, p. 552, chapter IV, Notification 8 Federal Environmental Agency notice of 25 January 2010 (Update of software versions)

Publication: Federal Journal (BAnz.) 26 January 2011, No. 14, p. 294, chapter IV, Notification 1 and 30 Federal Environmental Agency notice of 10 January 2011 (Update of software versions)

Publication: Federal Journal (BAnz.) 29 July 2011, No. 113, p. 2725, chapter III, Notification 16 Federal Environmental Agency notice of 15 July 2011 (Ppdate of software versions)

Initial certification according to EN 15267

Certificate No. 0000037052: 20 August 2012

Validity of the certificate: 19 July 2017

Statement of TÜV Rheinland Energie und Umwelt GmbH of 20 March 2012

Publication: BAnz AT 20 July 2012 B11, chapter IV, Notification 22

Announcement by UBA from 06 July 2012





Calculation of overall uncertainty for QAL1 in EN 14181 and EN 15267-3

Man	ufacti	urer	data

Manufacturer Name of measuring system Serial Number Measuring Principle SICK MAIHAK GM32, Messlanze GMP 8043 / 8044 UV-DOAS

TÜV Data

Approval Report

936/21209185/B - 06.03.2009

Editor Pletscher Date 03.03.2009

Measurement Component	NO
Certificated range	70 mg/m ³
Evaluation of the cross sensitivity (CS)	$QE \Delta X_{max, j}$
to 3 Vol% Oxygen	0.00 mg/m ³
to 21 Vol% Oxygen	0.00 mg/m³
to 30 Vol% Humidity	0.00 mg/m³
to 300 mg/m³ Carbon monoxide	-0.34 mg/m³
to 15 Vol% Carbon dioxide	0.00 mg/m ³

to 300 mg/m³ Carbon monoxide -0.34 mg/m³ to 15 Vol.-% Carbon dioxide 0.00 mg/m³ to 50 mg/m³ Methane 0.29 mg/m³ to 100 mg/m³ Dinitrogen monoxide 0.49 mg/m³ to 30 mg/m³ Nitrogen dioxide 0.49 mg/m³ to 20 mg/m³ Ammonia 0.57 mg/m³ to 1000 mg/m³ Sulphur dioxide -0.66 mg/m³ to 200 mg/m³ Hydrogen chloride 1.40 mg/m³

Sum of positive cross sensitivities 3.23 mg/m³
Sum of negative cross sensitivities -1.00 mg/m³

Calculation of the combined standard uncertainty

Test Value	Δ X _{max, j}		u	U ²
Standard deviation from paired measurements under field conditions	0.81 mg/m ³	uD = sD	0.81	0.656
Lack of fit	-0.63 mg/m ³	U _{lof}	-0.36	0.132
Zero drift from field test	0.42 mg/m ³	$u_{d,z}$	0.24	0.059
Span drift from field test	-1.61 mg/m ³	$u_{d.s}$	-0.93	0.864
Influence of ambient temperature at span	0.42 mg/m ³	Ut	0.24	0.059
Influence of supply voltage	0.21 mg/m ³	\mathbf{u}_{v}	0.12	0.015
Cross sensitivity (interference) **	3.23 mg/m ³	U i	1.87	3.486
Influence of sample pressure	0.00 mg/m ³	U n	0.00	0.000
Influence of sample gas flow	0.00 mg/m ³	Un	0.00	0.000
Uncertainty of reference material at 70% of certification range	0.98 mg/m ³	U _{rm}	0.57	0.320
Excursion of measurement beam	-0.70 mg/m ³	u _{mb}	-0.40	0.163
Converter efficiency for AMS measuring NOx	0.00 mg/m ³	u _{ce}	0.00	0.000
Variation of response factors (TOC)	0.00 mg/m ³	u _{rf}	0.00	0.000

^{*} The bigger value of: "Repeatability standard deviation at span" or "Standard deviation from paired measurements under field conditions"

 $^{^{\}star\star}$ The absolut value of the Sum of positiv cross sensitivity is greater than the Sum of negativ cross sensitivity

Combined standard uncertainty (u _C) Total expanded uncertainty	$u_{c} = \sqrt{\sum_{c} (u_{max, j})^{2}}$ $U = u_{c} * k = u_{c} * 1,96$	2.4 mg/m³ 4.70 mg/m³
Relative total expanded uncertainty	U in % of the ELV 40 mg/m³	11.8
Requirement of 2000/76/EC and 2001/80/EC	U in % of the ELV 40 mg/m ³	20.0
Requirement of EN 15267-3	U in % of the ELV 40 mg/m ³	15.0





Calculation of overall uncertainty for QAL1 in EN 14181 and EN 15267-3

Man	ufacti	urer	data

Manufacturer Name of measuring system Serial Number Measuring Principle SICK MAIHAK GM32, Messlanze GMP 8043 / 8044 UV-DOAS

TÜV Data

Approval Report

936/21209185/B - 06.03.2009

Editor Date Pletscher 03.03.2009

Measurement Component

SO2

Certificated range

75 mg/m³

Evaluation of the cross sensitivity (CS)	QE Δ X _{max, j}
to 3 Vol% Oxygen	0.00 mg/m ³
to 21 Vol% Oxygen	0.00 mg/m ³
to 30 Vol% Humidity	0.00 mg/m ³
to 300 mg/m³ Carbon monoxide	0.00 mg/m ³
to 15 Vol% Carbon dioxide	0.00 mg/m ³
to 50 mg/m³ Methane	0.46 mg/m ³
to 100 mg/m³ Dinitrogen monoxide	0.00 mg/m ³
to 30 mg/m³ Nitrogen dioxide	1.07 mg/m ³
to 20 mg/m³ Ammonia	0.61 mg/m ³
to 1000 mg/m³ Sulphur dioxide	0.00 mg/m ³

to 200 mg/m³ Hydrogen chloride -0.54 mg/m³
Sum of positive cross sensitivities 2.14 mg/m³
Sum of negative cross sensitivities -2.55 mg/m³

Calculation of the combined standard uncertainty

Test Value	Δ X _{max, j}		u	U ²
Standard deviation from paired measurements under field conditions	1.04 mg/m ³	uD = sD	1.04	1.082
Lack of fit	0.60 mg/m ³	U _{lof}	0.35	0.120
Zero drift from field test	1.43 mg/m ³	$u_{d,z}$	0.82	0.677
Span drift from field test	-1.58 mg/m ³	U _{d.s}	-0.91	0.827
Influence of ambient temperature at span	0.38 mg/m ³	Ut	0.22	0.047
Influence of supply voltage	0.53 mg/m ³	u_{v}	0.30	0.092
Cross sensitivity (interference) **	-2.55 mg/m ³	ui	-1.47	2.168
Influence of sample pressure	0.00 mg/m ³	U _D	0.00	0.000
Influence of sample gas flow	0.00 mg/m ³	U _n	0.00	0.000
Uncertainty of reference material at 70% of certification range	1.05 mg/m ³	U _{rm}	0.61	0.368
Excursion of measurement beam	1.28 mg/m ³	u _{mb}	0.74	0.542
Converter efficiency for AMS measuring NOx	0.00 mg/m ³	U _{ce}	0.00	0.000
Variation of response factors (TOC)	0.00 mg/m ³	u _{rf}	0.00	0.000

^{*} The bigger value of: "Repeatability standard deviation at span" or "Standard deviation from paired measurements under field conditions"

^{**} The absolut value of the Sum of negativ cross sensitivity is greater than Sum of positiv cross sensitivity

Combined standard uncertainty (u _C) Total expanded uncertainty	$u_{c} = \sqrt{\sum_{c} (u_{\text{max}, j})^{2}}$ $U = u_{c} * k = u_{c} * 1,96$	2.4 mg/m³ 4.77 mg/m³
Relative total expanded uncertainty	U in % of the ELV 35 mg/m ³	13.6
Requirement of 2000/76/EC and 2001/80/EC	U in % of the ELV 35 mg/m ³	20.0
Requirement of EN 15267-3	U in % of the ELV 35 mg/m ³	15.0