

CERTIFICATE

of Product Conformity (QAL1)

Certificate No.: 0000028755_02

Certified AMS: APNA 370 for NO_x

Manufacturer: HORIBA, Ltd.
2 Miyanoigashi
Kishioin Minami-ku
Kyoto 610-8510
Japan

Test Institute: TÜV Rheinland Energie und Umwelt GmbH

**This is to certify that the AMS has been tested
and found to comply with:**

**VDI 4202-1: 2002, VDI 4203-3: 2004, EN 14211: 2012,
EN 15267-1: 2009 and EN 15267-2: 2009**

Certification is awarded in respect of the conditions stated in this certificate
(see also the following pages).

The present Certificate replaces Certificate 0000028755_01 dated 16 March 2012



Publication in the German Federal Gazette
(BAnz.) of 14 October 2006

This certificate will expire on:
25 January 2016

German Federal Environment Agency
Dessau, 29 April 2014

TÜV Rheinland Energie und Umwelt GmbH
Cologne, 28 April 2014



i. A. Dr. Marcel Langner



ppa. Dr. Peter Wilbring

Certificate:
0000028755_02 / 29 April 2014

Test report: 936/21204643/C of 07 July 2006

Initial certification: 26 January 2011

Date of expiry: 25 January 2016

Publication: BAnz. 14 October 2006, No. 194, p. 6715, chapter IV, No 3.1

Approved application

The certified AMS is suitable for continuous ambient air monitoring of NO, NO₂ and NO_x (stationary operation). The suitability of the AMS for this application was assessed on the basis of a laboratory test and a four months field test. The AMS is approved for the temperature range from 0 °C to +40 °C.

Any potential user should ensure, in consultation with the manufacturer, that this AMS is suitable for ambient air applications at which it will be installed.

Basis of the certification

This certification is based on:

- test report 936/21204643/C dated 07 July 2006 of TÜV Rheinland Immissionsschutz und Energiesysteme GmbH and on the addenda to the test report 936/21204643/C1 of 27 July 2011 and 936/21222689/C of 05 October 2013
- suitability announced by the German Environmental Agency (UBA) as the relevant body
- the ongoing surveillance of the product and the manufacturing process
- publication in the German Federal Gazette (BAnz. 14 October 2006, No. 194, p. 6715, chapter IV, No. 3.1, Announcement by UBA from 12 September 2006)
- publication in the German Federal Gazette (BAnz. 25 August 2009, No. 125, p. 2929, chapter III, notification 2, UBA announcement from 03 August 2009)
- publication in the German Federal Gazette (BAnz. 26 January 2011, No. 14, p. 294, chapter IV, notification 6, Announcement by UBA from 10 January 2011)
- publication in the German Federal Gazette (BAnz. 02 March 2012, No. 36, p. 920, chapter V, notification 17, Announcement by UBA from 23 February 2012)
- publication in the German Federal Gazette (BAnz AT 05 March 2013 B10, chapter V, notification 8, UBA announcement from 12 February 2013)
- publication in the German Federal Gazette (BAnz AT 01 April 2014 B12, chapter VI, notification 27, Announcement by UBA from 27 February 2014)

AMS name:

APNA 370

Manufacturer:

HORIBA, Ltd., Kyoto, Japan

Distributor:

HORIBA Europe GmbH, Leichlingen

Approval:

For continuous monitoring of NO, NO₂ and NO_x (stationary operation).

Measuring ranges during the suitability test:

NO₂ 0 bis 400 µg/m³

NO₂ 0 bis 500 µg/m³

NO 0 bis 1200 µg/m³

Software version:

P1000878001C

Test institute:

TÜV Immissionsschutz und Energiesysteme GmbH, Cologne

TÜV Rheinland Group

Test report:

No. 936/21204643/C of 7 July 2006

2 Notification on the announcement of the Federal Environment Agency of 12 September 2006 (BAnz. p. 6717)

The current software version of the ambient air measuring system APNA 370 of the company Horiba Europe GmbH is:

P1000878001J

As an option, the pump of the type GD-6 EH of the company Horiba can be used alongside the so far used measured gas pump type N 86.0 KNE of the company KNF.

Statement of TÜV Rheinland Immissionsschutz und Energiesysteme GmbH of 31 March 2009

6 Notification on the announcements of the Federal Environment Agency of 12 September 2006 (BAnz. p. 6715, chapter IV, No. 3.1) and of 3 August 2009 (BAnz. p. 2929, chapter III, 2nd notification)

The APNA 370 measuring system by Horiba Ltd., Japan and Horiba Europe GmbH for components NO, NO₂ and NO_x fulfills the requirements of EN 14211. Moreover, the production and quality management of the APNA 370 measuring system for component NO, NO₂ and NO_x complies with the requirements of EN 15267.

The report of the suitability test is available on the internet at www.qal1.de.

Statement of TÜV Rheinland Energie und Umwelt GmbH of 6 October 2010

**17 Notification on the announcement of the Federal Environment Agency
of 12 September 2006 (Federal Gazette (BAnz.) p. 6715, chapter IV, No. 3.1) and
of 10 January 2011 (BAnz. p. 294, chapter IV 6th notification)**

There is an addendum to the test report 936/21204643/C for the APNA 370 measuring system by Horiba Ltd., Japan and Horiba Europe GmbH for the components NO, NO₂ and NO_x. The addendum has the report number 936/21204643/C1 and is an integral part of the test report 936/21204643/C after its publication and is also published on www.qal1.de.

Statement of TÜV Rheinland Energie und Umwelt GmbH of 3 November 2011

**8 Notification as regards Federal Environmental Agency notices of
12 September 2006 (Federal Gazette (BAnz.) p. 6715, chapter IV, No. 3.1) and of
23 February 2012 (Federal Gazette (BAnz.) p. 920, chapter V 17th notification)**

The APNA 370 measuring system for NO, NO₂ und NO_x manufactured by Horiba Ltd., Japan and Horiba Europe GmbH can be optionally equipped with an additional calibration gas inlet. Calibration gas can be fed either before or after the sample gas filter by means of an additional three-way valve.

Statement of TÜV Rheinland Energie und Umwelt GmbH of 11 October 2012

**27 Notification on the announcement of the Federal Environment Agency
12 September 2006 (BAnz. p. 6715, chapter IV No 3.1) and of
12 February 2013 (BAnz. AT 05.03.2013 B10, chapter V 8th notification)**

The measuring system APNA 370 for NO, NO₂ and NO_x by Horiba Ltd., Japan and Horiba Europe GmbH fulfils the requirements of Standard EN 14211 (dated November 2012). An addendum that is an integral part of the test report 936/21222689/C can be viewed on the internet at www.qal1.de.

Statement of TÜV Rheinland Energie und Umwelt GmbH of 5 October 2013

Certified product

This certificate applies to automated measurement systems conforming to the following description:

The APNA-370 is based on the measuring principle of chemiluminescence.

This method allows continuous measurement of the nitrogen oxides (NO, NO₂ and NO_x (NO + NO₂)) within the atmosphere. The concentration of NO₂ is calculated from the concentrations of NO and NO_x. The measuring principle complies with the reference measuring method described in section 5.2 of Standard EN 14211.

The sample gas is split into two streams within the APNA 370 measuring system. One stream is used for measuring the concentration of NO_x (NO + NO₂) by reducing NO₂ to NO via a NO_x converter. The other stream is used for direct determination of the NO concentration. The NO, NO_x and span gas tubes are switched every 0.5 s by using a solenoid valve and led into the reaction chamber.

Outside air is drawn through a separate filter, dried by a self-regenerative silica gel dehumidifier and passed through the ozonizer by generating the required ozone. The ozone is passed into the reaction chamber. The sample gas then reacts with the ozone and the emitted light is detected using a photo diode.

The device calculates the concentrations of NO, NO₂ and NO_x from the signal of the photo diode, which is proportional to the NO_x and NO concentrations, and displays the results as a continuous signal.

Dehumidifier

The device comprises a self-regenerative silica gel dehumidifier which dehumidifies the air required for generating ozone. The dehumidifier comprises two cylinders. While one cylinder is active the other is regenerated. The silica gel is heated to approx. 160 °C for about 135 minutes for this purpose in order to remove humidity. This process is followed by a cooling phase of about 45 minutes. Both cylinders are switched every 180 minutes in order to ensure constant drying.

General notes

This certificate is based upon the equipment tested. The manufacturer is responsible for ensuring that on-going production complies with the requirements of the EN 15267. The manufacturer is required to maintain an approved quality management system controlling the manufacture of the certified product. Both the product and the quality management systems shall be subject to regular surveillance.

If a product of the current production does not conform to the certified product, TÜV Rheinland Energie und Umwelt GmbH must be notified at the address given on page 1.

A certification mark with an ID-Number that is specific to the certified product is presented on page 1 of this certificate. This can be applied to the product or used in publicity material for the certified product is presented on page 1 of this certificate.

This document as well as the certification mark remains property of TÜV Rheinland Energie und Umwelt GmbH. With revocation of the publication the certificate loses its validity. After the expiration of the certificate and on requests of the TÜV Rheinland Energie und Umwelt GmbH this document shall be returned and the certificate mark must not be employed anymore.

The relevant version of this certificate and the validity is also accessible on the internet: qal1.de.

Certification of APNA 370 for NO_x is based on the documents listed below and the regular, continuous monitoring of the Quality Management System of the manufacturer:

First suitability test:

Test report: 936/21204643/C of 07 July 2006
TÜV Rheinland Immissionsschutz und Energiesysteme GmbH, Köln
Publication: BAnz. 14 October 2006, No. 194, p. 6715, chapter IV, No. 3.1
Announcement by UBA from 12 September 2006

Notifications:

Publication: BAnz. 25 August 2009, No 125, p. 2929, chapter III, notification 2
Announcement by UBA from 03. August 2006

Publication: BAnz. 26 January 2011, No. 14, p. 294, chapter IV, notification 6
Announcement by UBA from 10 January 2011

Publication: BAnz. 02 March 2012, No. 36, p. 920, chapter V, notification 17
Announcement by UBA from 23 February 2012

Publication: BAnz AT 05 March 2013 B10, chapter V, notification 8,
announcement by UBA from 12 February 2013

Publication: BAnz AT 01 April 2014 B12, chapter VI, notification 27
Announcement by UBA from 27 February 2014

Initial certification according to EN 15267:

Certificate No. 0000028755: 09 February 2011
Validity of the certificate until: 25 January 2016

Test report: 936/21204643/C vom 07 July 2006
TÜV Rheinland Immissionsschutz und Energiesysteme GmbH, Köln
Publication: BAnz. 26 January 2011, No. 14, p. 294, chapter IV, notification 6
Announcement by UBA from 10 January 2011

Notification according to EN 15267:

Certificate No. 0000028755_01: 16 March 2012
Validity of the certificate until: 25 January 2016

Certificate No. 0000028755_02: 29 April 2014
Validity of the certificate until: 25 January 2016

1st notification on changes to the certificate according to EN 15267
Addendum to test report 936/21204643/C1 from 27 July 2011
TÜV Rheinland Energie und Umwelt GmbH, Köln

Publication: BAnz. 02 March 2012, No. 36, p. 920, chapter V, notification 17
UBA announcement from 23 February 2012

2nd notification on changes to the certificate according to EN 15267
Addendum to test report 936/21222689/C from 05 October 2013
TÜV Rheinland Energie und Umwelt GmbH, Köln
Publication: BAnz AT 01 April 2014 B12, chapter VI, notification 27
Announcement by UBA from 27 February 2014

Certificate:
0000028755_02 / 29 April 2014

Expanded uncertainty based on the results of the laboratory testing of System 1

No.	Performance characteristic	Performance criterion	Result	Partial uncertainty	Square of partial uncertainty	1h-limit value:	104.6 nmol/mol
1	Repeatability standard deviation at zero	≤ 1.0 nmol/mol	0.157	$u_{r,z}$	0.05	0.0024	
2	Repeatability standard deviation at 1h-limit value	≤ 3.0 nmol/mol	1.704	$u_{r,h}$	0.10	0.0099	
3	"lack of fit" at 1h-limit value	≤ 4.0% of measured value	0.200	$u_{l,h}$	0.12	0.0146	
4	Sensitivity coefficient of sample gas pressure at 1h-limit value	≤ 8.0 nmol/mol/kPa	0.143	u_{gp}	0.41	0.1680	
5	Sensitivity coefficient of sample gas temperature at 1h-limit value	≤ 3.0 nmol/mol/K	0.230	u_{gt}	0.66	0.4347	
6	Sensitivity coefficient of surrounding temperature at 1h-limit value	≤ 3.0 nmol/mol/K	0.264	u_{st}	0.76	0.5727	
7	Sensitivity coefficient of electrical voltage at 1h-limit value	≤ 0.30 nmol/mol/V	0.122	u_V	0.41	0.1673	
8a	Interferent H ₂ O with 21 mmol/mol	≤ 10 nmol/mol (Zero)	-0.024	u_{H2O}	0.18	0.0326	
8b	Interferent CO ₂ with 500 µmol/mol	≤ 10 nmol/mol (Span)	1.360				
8c	Interferent NH ₃ mit 200 nmol/mol	≤ 5.0 nmol/mol (Span)	-0.056	$u_{int, pos}$	0.63	0.3997	
9	Averaging effect	≤ 7.0% of measured value	5.100	u_{av}	3.08	9.4860	
18	Difference sample/calibration port	≤ 1.0%	0.000	u_{asc}	0.00	0.0000	
21	Converter efficiency	≥ 98	98.60	u_{EC}	1.46	2.1445	
23	Uncertainty of test gas	≤ 3.0%	2.000	u_{cg}	1.05	1.0941	
Combined standard uncertainty u_c Expanded uncertainty U Relative expanded uncertainty W Maximum allowed expanded uncertainty W _{req}							
3.8130 nmol/mol 7.6259 nmol/mol 7.29 % 15 %							

Certificate:
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Expanded uncertainty based on the results of the laboratory testing of System 2

Measuring device:	Horiba APNA 370	Serial-No.:	SN 10022
Measured component:	NO2	1h-limit value:	104.6 nmol/mol
Performance characteristic			
No.	Performance criterion	Result	Partial uncertainty
1	Repeatability standard deviation at zero	≤ 1.0 nmol/mol	$U_{r,z}$ 0.04
2	Repeatability standard deviation at 1h-limit value	≤ 3.0 nmol/mol	$U_{r,h}$ 0.07
3	"lack of fit" at 1h-limit value	≤ 4.0% of measured value	$U_{l,h}$ 0.18
4	Sensitivity coefficient of sample gas pressure at 1h-limit value	≤ 8.0 nmol/mol/kPa	$U_{g,p}$ 0.37
5	Sensitivity coefficient of sample gas temperature at 1h-limit value	≤ 3.0 nmol/mol/K	$U_{g,t}$ 0.43
6	Sensitivity coefficient of surrounding temperature at 1h-limit value	≤ 3.0 nmol/mol/K	U_{st} 0.40
7	Sensitivity coefficient of electrical voltage at 1h-limit value	≤ 0.30 nmol/mol/V	U_V -0.28
8a	Interferent H ₂ O with 21 nmol/mol	≤ 10 nmol/mol (Zero)	U_{H2O} 0.15
8b	Interferent CO ₂ with 500 µmol/mol	≤ 5.0 nmol/mol (Span)	U_{CO2} 0.0216
8c	Interferent NH ₃ mit 200 nmol/mol	≤ 5.0 nmol/mol (Zero)	-1.820 or 0.52
9	Averaging effect	≤ 7.0% of measured value	$U_{int,reg}$ 0.184
18	Difference sample/calibration port	≤ 1.0%	4.400
21	Converter efficiency	≤ 3.0%	U_{av} 2.66
23	Uncertainty of test gas	≤ 3.0%	U_{ssc} 0.00
Combined standard uncertainty			
		U_c	3.5499 nmol/mol
Expanded uncertainty			
		U	7.0999 nmol/mol
Relative expanded uncertainty			
		W	6.79 %
Maximum allowed expanded uncertainty			
		W_{req}	15 %

Certificate:
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Expanded uncertainty based on the results of the laboratory and field testing of System 1

Measured component:	Honiba APNA 370	Serial-No.:	SN 10021
	NO2	1h-limit value:	104.6 nmol/mol
No.			
1	Repeatability standard deviation at zero	\leq	1.0 nmol/mol
2	Repeatability standard deviation at 1h-limit value	\leq	3.0 nmol/mol
3	"lack of fit" at 1h-limit value	\leq	4.0% of measured value
4	Sensitivity coefficient of sample gas pressure at 1h-limit value	\leq	8.0 nmol/mol/kPa
5	Sensitivity coefficient of sample gas temperature at 1h-limit value	\leq	3.0 nmol/mol/K
6	Sensitivity coefficient of surrounding temperature at 1h-limit value	\leq	3.0 nmol/mol/K
7	Sensitivity coefficient of electrical voltage at 1h-limit value	\leq	0.30 nmol/mol/V
8a	Interferent H ₂ O with 21 nmol/mol	\leq	10 nmol/mol (Zero)
8b	Interferent CO ₂ with 500 nmol/mol	\leq	5.0 nmol/mol (Zero)
8c	Interferent NH ₃ mit 200 nmol/mol	\leq	5.0 nmol/mol (Zero)
9	Averaging effect	\leq	7.0% of measured value
10	Reproducibility standard deviation under field conditions	\leq	5.0% of average over 3 months
11	Long term drift at zero level	\leq	5.0 nmol/mol
12	Long term drift at span level	\leq	5.0% of max. of certification range
18	Difference sample/calibration port	\leq	1.0%
21	Converter efficiency	\approx	98
23	Uncertainty of test gas	\leq	3.0%

Certificate:
 0000028755_02 / 29 April 2014

Expanded uncertainty based on the results of the laboratory and field testing of System 2

Measured component:	Horiba APNA 370	Serial No.:	SN 10022
Measured component:	NO2	1h-limit value:	104.6 nmol/mol
No.	Performance characteristic	Performance criterion	Result
1	Repeatability standard deviation at zero	$\leq 1.0 \text{ nmol/mol}$	0.132 $U_{\text{f},Z}$ 0.04
2	Repeatability standard deviation at 1h-limit value	$\leq 3.0 \text{ nmol/mol}$	1.250 $U_{\text{f},h}$ not considered, as $\sqrt{2} \cdot U_{\text{f},h} = 0.1 < u_{\text{rf}}$
3	"lack of fit" at 1h-limit value	$\leq 4.0\% \text{ of measured value}$	0.300 $U_{\text{f},h}$ 0.18
4	Sensitivity coefficient of sample gas pressure at 1h-limit value	$\leq 8.0 \text{ nmol/mol/kPa}$	0.130 $U_{\text{f},p}$ 0.37
5	Sensitivity coefficient of sample gas temperature at 1h-limit value	$\leq 3.0 \text{ nmol/mol/K}$	0.150 $U_{\text{f},T}$ 0.43
6	Sensitivity coefficient of surrounding temperature at 1h-limit value	$\leq 3.0 \text{ nmol/mol/K}$	0.140 $U_{\text{f},s}$ 0.40
7	Sensitivity coefficient of electrical voltage at 1h-limit value	$\leq 0.30 \text{ nmol/mol/V}$	-0.084 $U_{\text{f},V}$ -0.28
8a	Interferent H ₂ O with 21 nmol/mol	$\leq 10 \text{ nmol/mol (Zero)}$	0.080 $U_{\text{H}_2\text{O}}$ 0.15
8b	Interferent CO ₂ with 500 µmol/mol	$\leq 5.0 \text{ nmol/mol (Zero)}$	-0.056 $U_{\text{int, pos}}$ or 0.52
8c	Interferent NH ₃ mit 200 nmol/mol	$\leq 5.0 \text{ nmol/mol (Zero)}$	0.184 $U_{\text{int, neg}}$ -3.520
9	Averaging effect	$\leq 7.0\% \text{ of measured value}$	4.400 U_{av} 2.66
10	Reproducibility standard deviation under field conditions	$\leq 5.0\% \text{ of average over 3 months}$	3.960 $U_{\text{f},f}$ 4.14
11	Long term drift at zero level	$\leq 5.0 \text{ nmol/mol}$	0.560 $U_{\text{f},LZ}$ 0.32
12	Long term drift at span level	$\leq 5.0\% \text{ of max. of certification range}$	0.970 $U_{\text{f},Lh}$ 0.59
18	Difference sample/calibration port	$\leq 1.0\%$	0.000 $U_{\text{f},sc}$ 0.00
21	Converter efficiency	≥ 98	98.200 $U_{\text{f,C}}$ 1.88
23	Uncertainty of test gas	$\leq 3.0\%$	2.000 $U_{\text{f,g}}$ 1.05
Combined standard uncertainty		U_c	5.4932 nmol/mol
Expanded uncertainty		U	10.9903 nmol/mol
Relative expanded uncertainty		W	10.51 %
Maximum allowed expanded uncertainty		W_{req}	15 %