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CERTIFICATE

of Product Conformity (QAL1)

Certificate No.: 0000027277_04

AMS designation:	APDA-371 with $PM_{2.5}$ pre-separator for suspended particulate matter, $PM_{2.5}$
Manufacturer:	HORIBA Europe GmbH Hans-Mess-Str. 6 61440 Oberursel/Ts. Germany
Test Laboratory:	TÜV Rheinland Energy GmbH

This is to certify that the AMS has been tested and found to comply with:

VDI 4202-1 (2002), VDI 4203-3 (2004), EN 14907 (2005), EN 16450 (2017), Guide to the Demonstration of Equivalence of Ambient Air Monitoring Methods (2010), EN 15267-1 (2009) and EN 15267-2 (2009).

Certification is awarded in respect of the conditions stated in this certificate (this certificate contains 9 pages). The present certificate replaces certificate 0000027277_03 of 28 July 2015.



Suitability Tested Equivalent to 2008/50/EC EN 15267 Regular Surveillance

www.tuv.com ID 0000027277

Publication in the German Federal Gazette (BAnz) of 24 March 2020

German Federal Environment Agency Dessau, 04 June 2020

load by

Dr. Marcel Langner Head of Section II 4.1

www.umwelt-tuv.eu tre@umwelt-tuv.eu Phone: + 49 221 806-5200 This certificate will expire on: 23 March 2025

TÜV Rheinland Energy GmbH Cologne, 03 June 2020

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ppa. Dr. Peter Wilbring

TÜV Rheinland Energy GmbH Am Grauen Stein 51105 Köln

Test institute accredited to EN ISO/IEC 17025:2005 by DAkkS (German Accreditation Body). This accreditation is limited to the accreditation scope defined in the enclosure to certificate D-PL-11120-02-00.

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Certificate: 0000027277_04 / 04 June 2020



Test Report:

Initial certification: Expiry date: Publication: 936/21221789/B dated 19 March 2013 and addendum 936/21246946/B dated 7 September 2019 02 August 2010 23 March 2025 BAnz AT 24.03.2020 B7, chapter IV notification 50

Approved application

The tested AMS is suitable for continuous ambient air monitoring of suspended particulate matter, PM_{2.5} fraction (stationary operation).

The suitability of the AMS for this application was assessed on the basis of a laboratory test and a field test performed at four different sites.

The AMS is approved for an ambient temperature range of +5 °C to +40 °C.

The notification of suitability of the AMS, performance testing and the uncertainty calculation have been effected on the basis of the regulations applicable at the time of testing. As changes in legal provisions are possible, any potential user should ensure, in consultation with the manufacturer, that this AMS is suitable for monitoring the AMS readings relevant to the application.

Any potential user should ensure, in consultation with the manufacturer, that this AMS is suitable for the intended purpose.

Basis of the certification

This certification is based on:

- Test report no. 936/21221789/B dated 19 March 2013 and addendum 936/21246946/B dated 7 September 2019 issued by TÜV Rheinland Energie und Umwelt GmbH and TÜV Rheinland Energy GmbH respectively
- Suitability announced by the German Federal Environment Agency (UBA) as the relevant body
- The ongoing surveillance of the product and the manufacturing process

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Certificate: 0000027277_04 / 04 June 2020



Publication in the German Federal Gazette: BAnz AT 23.07.2013 B4, chapter II number 2.1, UBA announcement dated 3 July 2013:

AMS designation:

APDA-371 with PM_{2.5} pre-separator

Manufacturer: HORIBA Europe GmbH, Oberursel

Field of application:

For continuous ambient air monitoring of suspended particulate matter, $PM_{2.5}$ (stationary operation)

Measuring range during performance testing:

Component	Certification range	Unit
PM _{2.5}	0–1,000	µg/m³

Software version: Version 3236-07 5.1.1

Restrictions: None

Notes:

- 1. The measuring system complies with the requirements of the guide to "Demonstration of Equivalence of Ambient Air Monitoring Methods" (January 2010 version) for the component PM_{2.5}.
- For monitoring PM_{2.5}, the instrument must be fitted with the following options: Sample heater (BX-830), PM₁₀ sampling head (BX-802), PM_{2.5} Sharp Cut Cyclone SCC (BX-807), combined temperature and pressure sensor (BX-596) or an ambient temperature sensor (BX-592).
- 3. During the performance test, the cycle time was 1 h, i.e. the filter was automatically changed once an hour. Every filter spot was sampled only once.
- 4. Sampling time in the cycle time is 42min.
- 5. The measuring system must be operated inside a lockable measurement container.
- 6. The instrument must be calibrated on-site regularly using a gravimetric PM_{2.5} reference method in accordance with EN 14907.
- 7. The measuring system may also be operated with the BX-125 pump (optional).
- 8. Since January 2012, the measuring system has been distributed with a reengineered rear plate which accommodates additional interfaces such as the optional BX-965 reporting processor.
- 9. The instrument was first publically announced by the Federal Environment Agency on 12 July 2010 (BAnz. p. 2597, chapter II number 1.1, note 7). Most recently, the Federal Environment Agency made an announcement regarding the instrument on 6 July 2012 (BAnz AT 20.07.2012 B11, chapter IV 2nd notification).
- 10. The test report on performance testing is available on the internet at <u>www.qal1.de.</u>

Test Laboratory: TÜV Rheinland Energie und Umwelt GmbH, Cologne Report no.: 936/21221789/B dated 19 March 2013

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Publication in the German Federal Gazette: BAnz AT 26.08.2015 B4, chapter V notification 43, UBA announcement dated 22 July 2015:

43 Notification as regards Federal Environment Agency (UBA) notices of 3 July 2013 (BAnz AT 23.07.2013 B4, chapter III number 2.1) and of 25 February 2015 (BAnz AT 02.04.2015 B5, chapter IV 10th notification)

The APDA-371 air quality monitor with $PM_{2,5}$ pre-separator manufactured by HORIBA Europe GmbH may also be operated with the BECKER VT 4.4 vacuum pump.

Statement issued by TÜV Rheinland Energie und Umwelt GmbH dated 23 March 2015

Publication in the German Federal Gazette: BAnz AT 31.07.2017 B12, chapter II notification 32, UBA announcement dated 13 July 2017:

32 Notification as regards Federal Environment Agency (UBA) notices of 3 July 2013 (BAnz AT 23.07.2013 B4, chapter III number 2.1) and of 22 July 2015 (BAnz AT 26.08.2015 B4, chapter V 43^rd notification)

The current software version of the APDA-371 air quality monitor with $PM_{2,5}$ pre-separator for suspended particulate matter, $PM_{2,5}$, manufactured by HORIBA Europe GmbH is:

3236-7 V 5.5.0.

Statement issued by TÜV Rheinland Energy GmbH dated 8 March 2017

Publication in the German Federal Gazette: BAnz AT 24.03.2020 B7, chapter IV notification 50, UBA announcement dated 24 February 2020:

50 Notification as regards Federal Environment Agency (UBA) notices of 3 July 2013 (BAnz AT 23.07.2013 B4, chapter III number 2.1) and of 13 July 2017 (BAnz AT 31.07.2017 B12, chapter II 32nd notification)

The APDA-371 measuring system with $PM_{2,5}$ pre-separator for suspended particulate matter, $PM_{2,5}$ fraction, manufactured by HORIBA Europe GmbH satisfies the requirements defined in standard EN 16450. An addendum as integral part of test report no. 936/21221789/B is available online at www.gal1.de.

The current software version is: 3236-05 3.14.3

Statement issued by TÜV Rheinland Energy GmbH dated 6 December 2019

Certificate: 0000027277_04 / 04 June 2020



Certified product

This certification applies to automated measurement systems conforming to the following description:

With the exception of a modified front design, the APDA-371 measuring system with $PM_{2.5}$ pre-separator exactly corresponds to the BAM-1020 developed and entirely manufactured by Met One Instruments, Inc.

The APDA-371 measuring system with $PM_{2.5}$ pre-separator consists of the PM_{10} -sampling inlet BX-802, $PM_{2.5}$ Sharp Cut Cyclone SCC BX-807, the sampling tube, the sample heater BX-830, the ambient temperature sensor BX-596 or, alternatively, the BX-592 ambient temperature sensor, the BX-127 (or optional BX-125) vacuum pump, the APDA-371 measuring instrument (incl. glass-fibre filter tape), the respective connecting tubes and lines as well as adapters, the roof flange as well as the manual in German.

The measuring system uses beta-attenuation as a measurement principle.

The particle sample passes the PM_{10} -sampling inlet and the $PM_{2.5}$ Sharp Cut Cyclone SCC at a flow rate of 1 m³/h and reaches the APDA-371 analyser via the sampling tube.

During performance testing, the measuring system was operated with the BX-830 sample heater.

Particles arrive at the measuring instrument and will be separated by the glass fibre filter tape.

A measurement cycle (incl. automatic checking of radiometric measurement) proceeds as follows (setting for $PM_{2.5}$: radiometric measuring time: 8min):

- 1. At the beginning of each cycle, initial and blank measurements are performed with a clean filter tape I₀. This takes 8 min.
- 2. The filter tape is transported forward over a distance of 4 dust spots and pushed under the sampling point. The sample is taken from the filter spot where I₀ was previously determined. For a sampling duration of 42 min. particulate-loaded air is then sucked through that filter spot.
- 3. At the same time, the spot 4 positions upstream on the filter band is submitted to radiometric measurement I₁ for a duration of 8 minutes. This measurement is performed to check for potential drift effects caused by changes in external parameters such as temperature or relative moisture. The same spot is subjected to a third radiometric measurement I₂ with an inserted reference foil. The same spot of the filter tape is subjected to yet another I_{1x}, eight minutes before the end of the collection time in order to monitor stability of the zero point with the help of I₁ and I_{1x}.
- 4. Once sampling has been completed, the filter band is reversed back four sampling spots and the sampled filter spot is measured radiometrically (I₃). The calculation of the concentration completes the measurement cycle.
- 5. The next cycle will start again with step 1.

The radiometric determination of mass is calibrated in the factory and is checked hourly during operation as part of internal quality assurance at the zero point (clean filter spot) and at the span point (built-in reference foil). Measured values at zero and span points are easily derived from the data generated. These can then be compared to stability criteria (drift) or target values for span (factory settings). Certificate: 0000027277_04 / 04 June 2020



General remarks

This certificate is based upon the equipment tested. The manufacturer is responsible for ensuring that on-going production complies with the requirements of the EN 15267. The manufacturer is required to maintain an approved quality management system controlling the manufacturing process for the certified product. Both the product and the quality management systems shall be subject to regular surveillance.

If a product of the current production does not conform to the certified product, TÜV Rheinland Energy GmbH must be notified at the address given on page 1.

A certification mark with an ID-Number that is specific to the certified product is presented on page 1 of this certificate.

This document as well as the certification mark remains property of TÜV Rheinland Energy GmbH. Upon revocation of the publication the certificate loses its validity. After the expiration of the certificate and on request of TÜV Rheinland Energy GmbH this document shall be returned and the certificate mark must no longer be used.

The relevant version of this certificate and its expiration date are also accessible on the internet at **<u>gal1.de</u>**.

Document history

Certification of the APDA-371 with $PM_{2.5}$ pre-separator is based on the documents listed below and the regular, continuous surveillance of the manufacturer's quality management system:

Initial certification according to EN 15267

Certificate no. 0000027277:02 August 2010Expiry date of the certificate:01 August 2015

Test Report: 936/21209919/A dated 26 March 2010 TÜV Rheinland Immissionsschutz und Energiesysteme GmbH, Cologne Publication: BAnz. 28 July 2010, no. 111, p. 2597, chapter II number 1.1, 7th notification UBA announcement dated 12 July 2010

Certificate based on a notification

Certificate no. 0000027277_01: 20 August 2012 Expiry date of the certificate: 01 August 2015

1st notification of changes to the certificate in accordance with EN 15267: Statement issued by TÜV Rheinland Energie und Umwelt GmbH, Cologne dated 22 March 2012

Publication: BAnz AT 20.07.2012 B11, chapter IV notification 2 UBA announcement dated 06 July 2012 (Software update, new back plate, optional pump, requirements of "Demonstration of Equivalence of Ambient Air Monitoring Methods", requirements for AMS leak tightness)

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Supplementary testing according to EN 15267

Certificate no. 0000027277_02: 20 August 2013 Expiry date of the certificate: 01 August 2015

Test Report: 936/21221789/B dated 19 March 2013 TÜV Rheinland Energie und Umwelt GmbH, Cologne Publication: BAnz AT 23.07.2013 B4, chapter III number 2.1 UBA announcement dated 03 July 2013

Notification in accordance with EN 15267

Statement issued by TÜV Rheinland Energy GmbH dated 20 September 2014 Publication: BAnz AT 02.04.2015 B5, chapter IV notification 10 UBA announcement dated 25 February 2015 (Discontinuation and replacement of pressure sensor)

Renewal of the certificate:

Certificate no. 0000027277_03: 28 July 2015 Expiry date of the certificate: 01 August 2020

Notifications

Statement issued by TÜV Rheinland Energie und Umwelt GmbH dated 23 March 2015 Publication: BAnz AT 26.08.2015 B4, chapter V notification 43 UBA announcement dated 22 July 2015 (Design changes)

Statement issued by TÜV Rheinland Energy GmbH dated 8 March 2017 Publication: BAnz AT 31.07.2017 B12, chapter II notification 32 UBA announcement dated 13 July 2017 (software updates)

Certificate based on a notification

Certificate no. 0000027277_04: 04 June 2020 Expiry date of the certificate: 23 March 2025

Test report no. 936/21221789/B dated 19 March 2013 and addendum 936/21246946/B dated 7 September 2019 TÜV Rheinland Energy GmbH, Cologne Publication: BAnz AT 24.03.2020 B7, chapter IV notification 50 UBA announcement dated 24 February 2020

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	Comparison Guide Demonstration of E	candidate with referer		ls 2010	
Candidate	APDA-371	quivalence of Ambient	SN	SN 17010 & SN 17011	
			Limit value	30	µg/m³
Status of measured values	Offset corrected	Contraction of the second	Allowed uncertainty	25	%
		All comparisons			
Uncertainty between Reference	0.33	µg/m ³			
Uncertainty between Candidates	1.38	μg/m ³			
	SN 17010 & SN 17011	F. J			-
Number of data pairs	248				
Slope b	1.000	not significant			
Uncertainty of b	0.012				
Ordinate intercept a	0.000	not significant			
Uncertainty of a	0.204				
Expanded meas. uncertainty W _{CM}	11.57	%			
		All comparisons, ≥18 µ	g/m³		
Uncertainty between Reference	0.30	µg/m³	and the second sec		1. million 1
Uncertainty between Candidates	1.57	µg/m³			
	SN 17010 & SN 17011				
Number of data pairs	74				
Slope b	1.031				
Uncertainty of b	0.033				
Ordinate intercept a	-0.832				
Uncertainty of a	0.919		N faith		
Expanded meas. uncertainty W_{CM}	14.93	%			
	1	All comparisons, <18 µ	g/m³		
Uncertainty between Reference	0.34	µg/m³			
Uncertainty between Candidates	1.05	µg/m³			
	SN 17010 & SN 17011				
Number of data pairs	174				
Slope b	0.971				
Jncertainty of b	0.025				
Ordinate intercept a	0.302				
Uncertainty of a	0.267				
Expanded meas. uncertainty W _{CM}	10.52	%			

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	Guide Demonstration of Equ		nce according to t Air Monitoring Method	s, 2010	- 104.4
Candidate	APDA-371		SN	SN 17010 & SN 17011	
Status of measured values	Offset corrected		Limit value Allowed uncertainty	30 25	μg/m³ %
		Teddington, Summ	er		
ncertainty between Reference	0.33	μg/m ³			
ncertainty between Candidates	1.13 SN 17010	µg/m³		SN 17011	
umber of data pairs	78			78	
lope b	0.994			1.016	
ncertainty of b	0.030			0.025	
rdinate intercept a	1.058			0.254	
ncertainty of a	0.372	%		0.308	%
xpanded meas. uncertainty W _{CM}	14.46			11.85	%
Defenses	0.00	Cologne, Winter		and the second	
ncertainty between Reference ncertainty between Candidates	0.39 1.76	μg/m³ μg/m³			
neertainty between bandidates	SN 17010	pg/m		SN 17011	
umber of data pairs	75			75	
lope b	0.980			1.061	
ncertainty of b	0.024			0.019	
rdinate intercept a	0.196			-0.334	
ncertainty of a	0.512			0.405	
xpanded meas. uncertainty W_{CM}	12.96	%		14.00	%
	1.1478	Bornheim, Summe	er		
ncertainty between Reference	0.30	µg/m ³			
Incertainty between Candidates	1.13 SN 17010	µg/m³		SN 17011	
lumber of data pairs	SN 17010 53			SN 17011 57	
lope b	1.052		and the second second	1.134	
ncertainty of b	0.036		and the second s	0.048	
rdinate intercept a	-1.726			-2.262	
ncertainty of a	0.527			0.727	
xpanded meas. uncertainty W _{CM}	11.08	%		20.72	%
12		Teddington, Winte	er		
Incertainty between Reference	0.27	μg/m ³			
ncertainty between Candidates	1.01	μg/m ³			
noonainty bothoon canadatoo	SN 17010	~ .		SN 17011	
umber of data pairs	45			43	
lope b	0.970			0.991	
ncertainty of b	0.014			0.014	
rdinate intercept a	-0.946		and the second second	-0.134	
ncertainty of a	0.300	%		0.293	0/
xpanded meas. uncertainty W _{CM}	14.40			7.59	%
		comparisons, ≥18 µ	ug/m³		
ncertainty between Reference ncertainty between Candidates	0.30 1.57	μg/m³ μg/m³			
	SN 17010	pgin		SN 17011	
umber of data pairs	76	and the second		75	
lope b	0.984			1.092	
ncertainty of b	0.035			0.034	
rdinate intercept a	-0.180			-1.872	
ncertainty of a	0.975			0.95	
xpanded meas. uncertainty W _{CM}	16.67	%		16.67	%
		comparisons, <18	µg/m³		
ncertainty between Reference ncertainty between Candidates	0.34 1.05	μg/m³ μg/m³			
	SN 17010	P9/11		SN 17011	
umber of data pairs	175			178	
lope b	0.955			1.021	
ncertainty of b	0.028			0.026	
rdinate intercept a	0.373			-0.130	
ncertainty of a	0.306	%		0.286	%
panded meas. uncertainty W _{CM}	13.21			11.10	%
		All comparisons	and the second second		
	0.33	µg/m³			
	1.38	µg/m³		SN 17011	
ncertainty between Candidates	1.38 SN 17010	µg/m³		SN 17011 253	-
ncertainty between Candidates umber of data pairs	1.38 SN 17010 251			253	significant
ncertainty between Candidates umber of data pairs ope b	1.38 SN 17010	μg/m³ significant			significant
ncertainty between Candidates	1.38 SN 17010 251 0.969			253 1.041	
ncertainty between Reference ncertainty between Candidates umber of data pairs lope b ncertainty of b rdinate intercept a ncertainty of a	1.38 SN 17010 251 0.969 0.013	significant		253 1.041 0.012	significant not significan